



UCD Commercial Law Centre

Current Issues in Securities Law

Monday 8th December 2008
(8.00 a.m. – 1.00 p.m.)

School of Law
Roebuck Castle
University College Dublin
Belfield
Dublin 4

www.ucd.ie/clc

5 CPD HOURS

Current Issues in Securities Law

The EU Financial Services Action Plan consists of a set of measures intended to complete the Single Market in financial services across the EU. The prospectus Directive, the Transparency Directive, the Market Abuse Directive and the Markets in Financial Instruments Directive (MIFID) are central to this programme and seek to enhance transparency in EU Capital Markets in order to improve investor protection and market efficiency.

At this seminar, Professor Alastair Hudson, a distinguished expert on Capital Markets Law and author of the acclaimed authoritative text "Securities Law" (2008; Sweet & Maxwell) will guide delegates through the key features of the EU Directives and the implementing legislation in Ireland and the UK. In addition, Professor Hudson will explore developing issues in this area including:

- civil liability for prospectuses
- effect of prospectus rules on liability for negligent misstatement
- how private law cases are beginning to use conduct of business regulations as objective standards for private law liability
- is "best execution" in MIFID a fiduciary standard

This seminar, conducted by an excellent communicator, will be invaluable to anyone for whom knowledge of capital markets law is a professional necessity.

Professor Hudson is a Contributing Editor to the leading practitioner texts, *Palmer's Company Law* and *Charlesworth's Company Law* to which he has contributed the chapters on securities law.

- Date** Monday 8th December (8.00 a.m. – 1.00 p.m.; breakfast from 7.30am)
- Venue** School of Law, Roebuck Castle, University College Dublin, Belfield, Dublin 4.
Located off Fosters Avenue.
Vehicular access is possible only through Owenstown Gate Entrance to UCD.
- Cost** €400 (to include seminar materials and refreshments)
- Booking** The **attached booking form** must be completed and returned in order to reserve places.
- CPD** **5 Hours.** Certificates of attendance will be provided.
- Contact** **Tel:** (01) 716 8763
Fax: (01) 269 3463
E-Mail: clc@ucd.ie

SEMINAR THEMES

- The Lamfalussy Process
- The EC Securities Directives
- Prospectus Regulation
- Liability for the preparation of Prospectuses
- Transparency Obligations
- Listing Securities
- Continuing obligations and Listed Securities
- Market Abuse and Insider Dealing

SEMINAR PRESENTER

Professor Alastair Hudson

Professor Alastair Hudson is a barrister and Professor of Equity and Law at Queen Mary College, University of London, having previously worked as legal counsel and financial analyst at Goldman Sachs and Citibank NA where he specialised in the areas of financial derivatives and global finance. Professor Hudson is an acknowledged expert on financial services law and lectures frequently in both the UK and abroad to banks and financial institutions.

He is the author of a number of books in the area of both securities law and international finance including:

Securities Law (2008; Sweet & Maxwell)

The Law on Financial Derivatives (2006; Sweet & Maxwell)

The Law on Investment Entities (2000; Sweet & Maxwell)

Swaps, Restitution & Trusts (1999; Sweet & Maxwell)

Professor Hudson's innovative text *The Law of Finance*, which seeks to provide a synthesis of legal principles applicable to financial transactions, will be published in early 2009.

In 2008, Professor Hudson was the recipient of the accolade UK Law Teacher of the Year administered by the UK Centre for Legal Education, University of Warwick.

UCD COMMERCIAL LAW CENTRE

Current Issues in Securities Law

Monday 8th December 2008

Please Read Carefully

A minimum of 48 hours cancellation notice is required if cancelling your place at this seminar. You may make substitutions at any time. Please notify us of changes. Cancellations without required notice will carry the full course fee.

BOOKING FORM - PLEASE COMPLETE USING BLOCK CAPITALS

Please reserve.....place(s) on the programme for the following:

1. Mr/Ms	Position
2. Mr/Ms	Position
3. Mr/Ms	Position
4. Mr/Ms	Position
5. Mr/Ms	Position
6. Mr/Ms	Position

Company/Firm

Address

E-mail

Telephone

Fax

Programme Fee: €400 per person. 10% deduction for three or more delegates attending from the same company/firm when booking on the same booking form.

- I enclose cheque for €..... made payable to "Commercial Law Centre, UCD"
- Credit Card Payment (Please use Credit Card Authorisation Overleaf)

Return Booking Form to:

UCD Commercial Law Centre, Roebuck Castle, University College Dublin, Belfield, Dublin 4. Fax: (01) 269 3463. E-mail: clc@ucd.ie

UCD COMMERCIAL LAW CENTRE

Credit Card Payment Authorisation

I hereby authorise UCD Commercial Law Centre to be paid the sum of € _____ using my credit card, details of which are provided below.

Card Type:

MasterCard

Visa

American Express

Other (Please specify)

Cardholder Name: (Please Print)

--

Card Number:

--	--	--	--	--	--	--	--	--	--	--	--

Valid From: (mm/yy)

Expiry Date: (mm/yy)

--	--	--	--

--	--	--	--

CVV Code (Last three digits positioned on the back of the Credit Card on the signature panel)

--	--	--

Cardholder Signature:

Date:

Contact Telephone Number:
